

## DECLARATION FOR UTILITY PATENT APPLICATION

Attorney Docket No. : 210121.484C3

First Named Inventor : Jiangchun Xu



As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below under my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### OVARIAN TUMOR SEQUENCES AND METHODS OF USE THEREFOR

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/656,668, as filed in the United States Patent and Trademark Office on September 7, 2000, ("this application") for which a patent is sought.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under 35 U.S.C. § 365(c) of any PCT international application(s) designating the United States of America, as listed below ("the parent applications") and insofar as the subject matter of each of the claims of this application is not disclosed in at least one of the below-listed United States or PCT International parent applications in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56, including material information which became available between the filing date of any of the below-listed parent applications and the national or PCT International filing date of this (C-I-P) application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/640,173	08/15/2000		210121.484C2
09/561,778	05/1/2000		210121.484C1
09/394,374	09/10/1999		210121.484

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Jiangchun Xu

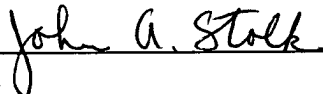
Date

01/10/01

Residence : City of Bellevue, County of King  
State of Washington

Citizenship : United States of America

P.O. Address : 15805 SE 43<sup>rd</sup> Place  
Bellevue, WA 98006



John A. Stolk

Date

Jan 10, 2001

Residence : City of Bothell, County of King  
State of Washington

Citizenship : United States of America and Canada

P.O. Address : 7436 Northeast 144<sup>th</sup> Place  
Bothell, WA 98011

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jiangchun Xu and John A. Stolk  
Application No. : 09/656,668  
Filed : September 7, 2000  
For : OVARIAN TUMOR SEQUENCES AND METHODS OF USE  
THEREFOR

Docket No. : 210121.484C3

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment filed concurrently herewith, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

Assignee hereby appoints Richard W. Seed, Reg. No. 16,557; Robert J. Baynham, Reg. No. 22,846; George C. Rondeau, Jr., Reg. No. 28,893; David H. Deits, Reg. No. 28,066; William O. Ferron, Jr., Reg. No. 30,633; David J. Maki, Reg. No. 31,392; Richard G. Sharkey, Reg. No. 32,629; David V. Carlson, Reg. No. 31,153; Karl R. Hermanns, Reg. No. 33,507; David D. McMasters, Reg. No. 33,963; Michael J. Donohue, Reg. No. 35,859; Jane E. R. Potter, Reg. No. 33,332; Robert Iannucci, Reg. No. 33,514; Lorraine Linford, Reg. No. 35,939; David W. Parker, Reg. No. 37,414; E. Russell Tarleton, Reg. No. 31,800; Ellen M. Bierman, Reg. No. 38,079; Brian G. Bodine, Reg. No. 40,520; Kevin S. Costanza, Reg. No. 37,801; Thomas E. Loop, Reg. No. 42,810; Stephen J. Rosenman, Reg. No. 43,058; Brian L. Johnson, Reg. No. 40,033; Susan D. Betcher, Reg. No. 43,498; William T. Christiansen, Reg. No. 44,614; Gary M. Myles, Reg. No. 46,209; Eric J. Gash, Reg. No. 46,274; Jeffrey C. Pepe, Reg. No. 46,985, and Charles J. Rupnick, Reg. No. 43,068; comprising the firm of Seed Intellectual Property Law Group PLLC, 701 Fifth Avenue, Suite 6300, Seattle, Washington 98104-7092, and Cynthia L. Shumate, Reg. No. 38,303; Kathleen Nora McKereghan, Reg. No. 41,985; and Jeff E.

Hundley, Reg. No. 42,676, as its attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to **Jane E. R. Potter** at (206) 622-4900 and telecopies to (206) 682-6031.

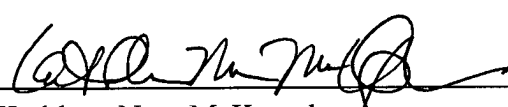
Please direct all communications to:

Jane E. R. Potter, Esq.  
Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092

Pursuant to 37 C.F.R. § 3.73, the undersigned duly authorized designee of Assignee certifies that the evidentiary documents have been reviewed, specifically the Assignment to Corixa Corporation filed concurrently herewith for recording, a copy of which is attached hereto, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Corixa Corporation  
ASSIGNEE

\_\_\_\_\_  
1/17/01  
DATE

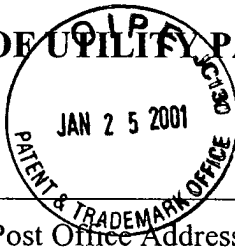
\_\_\_\_\_  
  
Kathleen Nora McKereghan  
Vice President, General Counsel and Secretary

Enclosure:  
Copy of Assignment

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# ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,



Name	Post Office Address	
Jiangchun Xu	15805 SE 43 <sup>rd</sup> Place, Bellevue, WA 98006	and
John A. Stolk	7436 Northeast 144 <sup>th</sup> Place, Bothell, WA 98011	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

## OVARIAN TUMOR SEQUENCES AND METHODS OF USE THEREFOR

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/656,668, as filed in the United States Patent and Trademark Office on September 7, 2000 ("this application") for which a patent is sought.

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and

benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and behalf, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

01/10/01                      JL Xu  
Date                                      Jiangchun Xu  
State of Washington )  
County of King ) ss.

I certify that I know or have satisfactory evidence that Jiangchun Xu is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated January 10, 2001  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

Date Jan 10, 2001 John A. Stolk  
John A. Stolk  
State of Washington )  
County of King ) ss.

I certify that I know or have satisfactory evidence that John A. Stolk is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated January 10, 2001  
Signature of Deirdre I. Bryan  
Notary Public **DEIRDRE I. BRYAN**  
Printed Name  
My appointment expires 9/1/02

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